

Student Accountability Information System

Fiscal Year 2007 SAIS-Student Details Requirements Overview

Version: 7.5

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This document is intended to be an aide to the FY 2007 SAIS-Student Details Requirements Overview meetings only. Items disclosed in this document/guide are subject to change. Please see official requirements documentation for specific and official requirements information.

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1. Primary Nighttime Residence

The capturing of Primary Nighttime Residence for students identified as Homeless is a Federal requirement as mandated by the US Education Department:

TITLE VII-B OF THE MCKINNEY-VENTO HOMELESS ASSISTANCE ACT, AS AMENDED BY THE NO CHILD LEFT BEHIND ACT OF 2001 CDFA Number 84.196; FORM APPROVED; OMB NO.: 1810-0650

1.1. Transactions

- 1.1.1 SAIS shall accept the submission of a Primary Nighttime Residence code when a Homeless need is designated.
- 1.1.2 Primary Nighttime Residence shall be captured from FY 2007 and forward only.
- 1.1.3 The FY 2007 Needs 011 transaction format, with the additional data field, Primary Nighttime Residence, shall be allowed to be submitted in fiscal years prior to FY 2007.
 - 1.1.3.1 SAIS shall ignore the Primary Nighttime Residence field in Needs 011 transactions with a FY 2007 format submitted in fiscal years <= FY 2006.
- 1.1.4 Primary Nighttime Residence shall be captured in a new field added on the end of the 011 Needs Transaction.
- 1.1.5 If provided, the Need Exit Date shall be captured in a 011 add or change operation and the submitted Need Code in the 011 transaction is equal to '6' (Homeless).
 - 011 Need Transaction elements and optionality table

The following structure describes the elements in this transaction.

field name	abbreviated name	length	data type	description	Comment
Transaction ID	TRANSID	3	ı	ID value = 011	
Vendor Defined Field	VENDORFLD	50	S	Free field to be used for any purpose defined by the vendor or submitter (e.g., to hold a vendor- generated submission record identifier); this information will not be stored in SAIS	
Operation Code	OPRCD	1	S	A = Add new NeedC = Change existing Need Exit Date (for homebound and homeless)D = Delete Need	
Entity ID	ENTITYID	9	S	School identifier; CTDS code	
School Student ID	SCHLSTUID	12	S	School-generated student identifier	
Student ID	STUDENTID	10	I	ADE-generated student identifier	
First Name on Legal Document	FIRSTNM	30	S	Student first name as it appears on the legal document provided for registration	

field name	abbreviated name	length	data type	description	Comment
Middle Name on Legal Document	MIDDLENM	30	S	Student middle name as it appears on the legal document provided for registration	
Last Name on Legal Document	LASTNM	40	S	Student last name as it appears on the legal document provided for registration	
Need Code	NEEDCD	5	С	Need code See Transaction Requirements: Code Values – Need	
empty field		2	С	This element is no longer collected. Properly formatted values (length and data type) in these fields will be ignored. For SDF files, no characters in the field is preferred. For XML files, no submittal of the element is preferred.	
Need Entry Date	NEEDENTRD T	10	D	The date the student entered the state of having this Need. For all needs except homebound and homeless , this element will be used solely to determine the school year during which the need occurred.	
Need Exit Date	NEEDEXITDT	10	D	The date the student exited the state of having this Need. For all needs except homebound and homeless , this element will not be used.	FY 2007 to present – added the capture of the homeless need exit date
Primary Nighttime Residence	TBD	2	С	Type of Primary Nighttime Residence – This field will only be required when reporting a Homeless need. See Data Transaction Code Values document – Primary Nighttime Residence	FY 2007 to present

Changing elements

See the section **How to Change Specific SAIS Elements** at the end of this document for instructions on which transaction should be used to change particular data elements.

The following elements may be changed using this transaction with a "change" operation.

- Need Exit Date (for Homebound and Homeless needs only)
- Primary Nighttime Residence

Element Optionality

This table defines whether each element is optional or required depending upon Operation Code.

R: the element is required

X: any value in the incoming element will be ignored

{blank}: the element is optional; a valid value will be captured in SAIS

Table 2 Needs Transaction Element Optionality

element	add	change	delete	

element	add	change	delete
Transaction ID	R	R	R
Vendor Defined Field	Χ	Χ	Χ
Operation Code	R	R	R
Entity ID	R	R	R
School Student ID	Χ	Χ	Χ
Student ID	R	R	R
First Name on legal document	Х	Х	Х
Middle Name on legal document	Х	Х	Х
Last Name on legal document	Х	Х	Х
Need Code	R	R	R
empty field	Χ	Χ	Χ
Need Entry Date	R	R	R
Need Exit Date		1	Χ
Primary Nighttime Residence	2	2	X

- 1 not required for a valid "change" operation because the "change" might be intended to remove an existing Need Exit Date from the SAIS database
- 2 Required only if Homeless need submitted for student in the transaction. Otherwise, properly formatted values (length and data type) will be ignored.
- 1.1.6 A new section to the Data Transactions Code Values document shall be created. This section shall include the designated Primary Nighttime Residences per below table.

Primary Nighttime Residence

Transaction Student Need; Element name Primary Nighttime Residence

Transaction **Student Support Program**; Element name **Primary Nighttime Residence** (Source Table: TBD LookupCodes; TBD)

The Primary Nighttime residence is associated with students who have been designated as Homeless.

Code in transacti on	Code in SAIS databas e ¹	Short Description	Long Description	Effective Dates ²
1		Sheltered	Student living in temporary shelters, such as homeless shelters, domestic violence shelters, or transitional housing programs, or temporary foster care placements	FY 2007 to present
2		Doubled Up	Student temporarily sharing the housing of other persons (friends or relatives) due to loss of housing, economic hardship, or a similar reason.	FY 2007 to present
3		Unsheltered	Student living in abandoned buildings, campgrounds and vehicles, inadequate trailer parks, bus and train stations, substandard housing or abandoned in the hospital.	FY 2007 to present
4		Hotel/Motel	Student temporarily living in a hotel or motel due to lack of alternate adequate accommodations	FY 2007 to present
5		Unknown	* This category should only be used as a last resort. Since type of residence is the basis for identifying homelessness, the type of residence should be reported at the time of identification of homelessness. The local liaison should have only the most unusual circumstance to use this classification.	FY 2007 to present

1.1.7 Import Validation: Allowed Code values

1.1.7.1 Allow only the above code values in the 011 Need transaction for Primary Nighttime Residence, else, fail the transaction.

1.1.7.1.1 <u>SDTX011ND-001.1</u>

-190xx: Unallowed value in element: Primary Nighttime Residence

- 1.1.8 Primary Nighttime Residence shall be required for 'add' and 'change' operations if the Need Code in the 011 transaction is equal to '6' (Homeless).
- 1.1.9 Import Validation: Missing Element
 - 1.1.9.1 If the submitted Need in the 011 Need transaction = Homeless and the Primary Nighttime Residence is not submitted, report the discrepancy.
 - 1.1.9.1.1 <u>SDTX011ND-001.3</u>

-190xx: Missing required element: Primary Nighttime Residence required when Need is Homeless.

- 1.1.10 Any valid submitted code for the Primary Nighttime Residence shall be *ignored* for 'add' and 'change' operations if the Need Code in the 011 transaction *is not* equal to '6' (Homeless).
- 1.1.11 Primary Nighttime Residence shall be deleted when its associated Homeless need is deleted via a delete operation in the 011 Needs transaction.
- 1.1.12 Note the 'Homeless' need from the 'needs to which this support program applies to' column from the 21st Century Support Program (code value 21). SAIS will continue to accept a Support Program 015 transaction submitted with a need code of '6' (Homeless) associated with the 21st Century Support Program. However, since Primary Nighttime Residence is required for all designated as having a Homeless need, SAIS shall validate that the Homeless need, along with its associated Primary Nighttime Residence, be successfully populated into SAIS (via the 011 Needs transaction) prior to the allowance of a Support Program 015 transaction submitted with a need code of '6' (Homeless) associated with the 21st Century Support Program.

Code in transact ion	SAIS	SAIS	Short Description	Description	Source	Needs to which this applies	Effective Dates ²
21	3235	104	21st Century program	The purpose of the 21st Century Community Learning Centers is to: (1) provide opportunities for academic enrichment, including providing tutorial services to help students (particularly students in high-poverty areas and those who attend low-performing schools) meet State and local student performance standards in core academic subjects such as reading and mathematics; (2) offer students a broad array of additional services, programs, and activities, such as youth development activities, drug and violence prevention programs, counseling programs, art, music, and recreation programs, and character education programs, that are designed to reinforce and complement the regular academic program of participating students; and (3) offer families of students served by community learning centers opportunities for literacy and related educational development. Therefore, the centers serve the Economic Disadvantage, Behavioral Disadvantage and Academic Disadvantage Need Groups.	ADE Student Services Dept	 Eligible for reduced fee lunch Eligible for free lunch Homeless Immigrant Migrant Agriculture Employment Neglected Refugee Delinquent Math Language Arts (reading and/or writing) Science Social Studies Other Academic Services OR a student could participate in this program, and not be associated with any of the above Needs (in which case, the Need Code could be left blank, or the code "NOND" can be used) 	FY 2004 to present

1.1.12.1 Per fiscal year, beginning in FY 2007, SAIS shall require that a student's Primary Nighttime Residence be successfully populated into SAIS (via the 011 Needs transaction) prior to the acceptance of a 21st Century Support Program associated with a Homeless need.

SDTX015-SUP-002.2A.8

ERROR -@@: Message: Homeless student must have a designated Primary Nighttime Residence. Please submit via the 011 Needs transaction prior to submitting a 21st Century Support Program with a Homeless Need.

1.1.13 Remove 'Homeless' support program (code value 22) for FY 2007 and forward.

Code in transact ion	JAIJ	SAIS	Short Description	Description	Source	Needs to which this applies	Effective Dates ²
22	3236	105	Homeless	Student receives services based on homeless designation	NCLB	Homeless	FY 2004 to FY 2006

1.2. Integrity

There are no new Integrity validations required at this time.

1.3. Aggregation

n/a

1.4. Reports

TBD

2. Immigrant Need for 3 years only

SAIS will validate that a student may only be designated with an Immigrant need code for three prior fiscal years.

The basis of the request is the No Child Left Behind Act of 2001, Title III: Language Instruction for Limited English Proficient and Immigrant Students, Part C-General Provisions, Section 3301.Definitions.(6)

2.1. Transactions

n/a

2.2. Integrity

- 2.2.1 SAIS shall issue a warning for FY 2007 in Integrity if a student identified in the current fiscal year as Immigrant was previously identified as an Immigrant in 3 or more prior fiscal years.
 - 2.2.1.1 Support Program Integrity

SD-INT-SUP-009.5

Warning message -@@

Student has been identified as Immigrant for 3 or more prior fiscal years.

- 2.2.1.2 SAIS shall validate the above rule on a student level. SAIS shall consider if the student has had the Immigrant Need submitted for them at any entity at any time within any given prior fiscal year.
- 2.2.1.3 SAIS shall identify if a student was designated as Immigrant in prior fiscal years
- 2.2.1.4 It is intended that this validation shall result in a failure for FY 2008 and forward. TBD by business owner(s) prior to FY 2008.

2.3. Aggregation

n/a

2.4. Reports

- 2.4.1 There are no specific new report requirements for this validation.
 - 2.4.1.1 Please note that Integrity statuses (including successes, warnings and failures) are returned in the Student Integrity report.

3. Home Language Codes

The intent of this modification is to provide additional home language codes for LEAs to submit to SAIS. Those chosen to be added were provided by EAS and the Dept. of Economic Security (for reported refugee home languages).

The collection of Home Language for ELL purposes is required under:

Arizona Administrative Code
Title 7. Education
Chapter 2. State Board of Education
Article 3. Curriculum Requirements and Special Programs
Section R7-2-306
http://www.azsos.gov/public_services/

3.1. Transactions

- 3.1.1 Modify SAIS accepted Home language codes to include the following home languages not yet captured in SAIS.
- 3.1.2 The new Home Language codes to be used in SAIS are coded as below:

Home Language

Transaction Student Enrollment; Element name Home Language Code
Transaction Student Personal Information; Element name Home Language Code

(Source Table: TBD; Section: 17)

Code in transaction	Code in SAIS database ¹	Description	Effective Dates ²
00	411	English	
01	412	Spanish	
68	TBD	Afrikaans	FY 2007 to present
47	TBD	Albanian	FY 2007 to present
48	TBD	Amharic	FY 2007 to present
02	413	Arabic	
49	TBD	Azeri	FY 2007 to present
69	TBD	Bengali	FY 2007 to present
50	TBD	Burmese	FY 2007 to present
03	414	Cambodian	
04	415	Cantonese	
05	416	Czechoslovakian	
5 1	TBD	Dari	FY 2007 to present
52	TBD	Dinka	FY 2007 to present
06	417	Dutch	
53	TBD	Farsi	FY 2007 to present
07	418	Filipino	
08	419	Finnish	
09	420	French	
10	421	German	
11	422	Greek	
12	423	Hebrew	
70	TBD	Hindi	FY 2007 to present
13	424	Hungarian	
14	425	Italian	
15	426	Japanese	
54	TBD	Kirundi	FY 2007 to present
16	427	Korean	
55	TBD	Kru	FY 2007 to present
17	428	Laotian	·
56	TBD	Lingala	FY 2007 to present
57	TBD	Maay	FY 2007 to present
18	429	Mandarin	·
58	TBD	Mandingo	FY 2007 to present
59	TBD	Nuer	FY 2007 to present
60	TBD	Pashto	FY 2007 to present
71	TBD	Persian	FY 2007 to present
19	430	Polish	
20	431	Portuguese	
72	TBD	Punjabi	FY 2007 to present
21	432	Romanian	
22	433	Russian	
61	TBD	Serbian	FY 2007 to present
62	TBD	Somali	FY 2007 to present
63	TBD	Swahili	FY 2007 to present
64	TBD	Tagalog	FY 2007 to present
23	434	Thai	
24	435	Ukrainian	

Code in transaction	Code in SAIS database ¹	Description	Effective Dates ²
65	TBD	Urdu	FY 2007 to present
66	TBD	Uzbek	FY 2007 to present
67	TBD	Vai	FY 2007 to present
25	436	Vietnamese	
26	437	Serbo-Croatian (formerly "Yugoslavia")	
27	438	Other Non-Indian	
28	439	Navajo	
29	440	Apache (San Carlos)	
30	441	Apache (Whiteriver)	
31	442	Chemehuevi	
73	TBD	Cherokee	FY 2007 to present
32	443	Cocopah	
33	444	Hopi	
34	445	Haulapai	
35	446	Kaibab-Paiute	
36	447	Maricopa	
37	448	Mohave	
38	449	Papago (Tohono O'odham)	Added Tohono O'odham reference in FY 2007
39	450	Pima (Akimel O'odham)	Added Akimel O'odham reference in FY 2007
40	451	Supai	
41	452	Tewa	
42	453	Yaqui	
43	454	Yavapai	
44	455	Yuma	
45	456	Other Indian	
46	1246	American Sign Language (ASL)	This code will no longer be accepted by SAIS beginning FY2005. [Note in FY04 documentation: "Code added in error for FY2003-04. NOTE: This code should NOT be submitted to SAIS. Because of the incorrectly published requirements though, SAIS will accept this code for FY2004, but it will be changed to code 00 (English) before the element is added to the SAIS database. This code will not be reported by ADE."]

3.2. Integrity

n/a

3.3. Aggregation

n/a

3.4. Reports

3.4.1 The new and modified Home Language codes shall be captured in all applicable SAIS reports.

4. Overall Assessment Results - descriptions

The descriptions of the Overall Assessment Results have been modified by the ADE's English Acquisition Services department for purposes of clarification and definition. These description modifications will be designated in SAIS documentation from FY 2007 forward.

4.1. Transactions

Overall Assessment Result

Transaction Student Assessment; Element name Assessment Result Code

Transaction Language Program Participation; Element name Assessment Result Code

(Source Table: EnLookupCodes; Section: 61)

Code in transacti on	Code in SAIS databas e ¹	Short Description	Long Description	Effective Dates ²
1	830	New English Language Learner (New ELL)	The overall result of this assessment qualifies the student to be eligible to participate in an ELL program for the first time in Arizona. Note: A student can only be classified as a New ELL in Arizona one time.	
2	831	Continuing English Language Learner (Continuing ELL)	The overall result of this assessment qualifies the student to continue to be eligible to participate in an ELL program. This is not the first assessment that shows the student to be eligible to participate in an ELL program and the student has never been Reclassified FEP by an assessment in Arizona.	
3	832	Reclassified Fluent English Proficient (Reclassified FEP)	The overall result of this assessment shows the ELL student to be proficient. This student previously participated in an ELL program.	
4	833	Initial Fluent English Proficient (Initial FEP)	The overall result of this assessment shows the student to be proficient. This student has never participated in an ELL program in Arizona.	

Code in transacti on	Code in SAIS databas e ¹	Short Description	Long Description	Effective Dates ²
5	834	ELL After Reclassification (ELLAR)	The overall result of this assessment qualifies the student to be eligible to participate in an ELL program again. This student was previously Reclassified FEP in Arizona.	
6	3353	Continuing Fluent English Proficient (Continuing FEP)	This student was previously Reclassified FEP by assessment and is being monitored. Note: Students must be monitored for two years after being Reclassified FEP.	FY2005 TO PRESENT

<u>Still Pending</u>: An assessment must be administered each year during the two-year monitoring cycle. This has not become official yet due to pending legislation.

More information relating to pending legislation associated with assessing a student both years of Continuing FEP monitoring may be found in the proposed ARS 15-756.05 of House Bill # 2008 of the 47th Legislature, Second Session 2006 – Proposed Education Legislation. http://www.azleg.state.az.us/

4.2. Integrity

n/a

4.3. Aggregation

n/a

4.4. Reports

n/a

5. ELL New and Continuing - definitions

5.1. Transactions

5.1.1 The descriptions of the New and Continuing Language Participation codes have been modified by the ADE's English Acquisition Services department for purposes of clarification and definition. These description modifications will be designated in SAIS documentation from FY 2007 forward.

Language Participation Status

Transaction Language Program Participation; Element name Language Participation Status (Source Table: EnLookupCodes; Section: 274)

This code was formerly named Language Need Status.

Code in transaction	Code in SAIS database ¹	Description	Effective Dates ²
С	3157	Continuing - Student is an ELL and was classified as an ELL in Arizona in a prior fiscal year(s).	
N	3158	New - Student was assessed and is eligible to be classified as an ELL for the very first time in Arizona. Note: A Student can only be classified as a New ELL in Arizona one time.	

5.2. Integrity

n/a

5.3. Aggregation

n/a

5.4. Reports

n/a

6. Language Assessment Totals Report

6.1. Transactions

n/a

6.2. Integrity

Per reports section

6.3. Aggregation

n/a

6.4. Reports

- 6.4.1 The SAIS system shall give users the capability to create a Language Assessment Total Report referred to as the SDELL73 report hereafter.
- 6.4.2 Users with school/charter level permissions shall be able to create a school/charter level report.
- 6.4.3 Users with District/Charter Holder level permissions shall be able to create a District/Charter Holder level report.
- 6.4.4 The District/Charter Holder level report shall print in ascending order, based on the CTDS number.
- 6.4.5 All reports shall be created in PDF, text (CSV) or XML format.
- 6.4.5.1 NOTE: It will be the responsibility of the user to import the extract file into third party software for manipulation.
- 6.4.6 The header of all the SDELL73 reports shall contain the following data elements:

Title
As of date/As of Time
Requesting School Id or Requesting District Id
Requesting School Name or District Name

- 6.4.7 The field name headers of the data elements shall print for all reports.
- 6.4.8 The records of all the reports shall contain the following data elements:

Assessment Result Passed Integrity Failed Integrity Integrity Not Run Total

6.4.9 The Assessment Results shall print beneath the Assessment Result header and shall consist of the following descriptions:

New English Language Learner (New ELL)
Continuing English Language Learner (Continuing ELL)
Reclassified Fluent English Proficient (Reclassified FEP)
Initial Fluent English Proficient (Initial FEP)
ELL After Reclassification (ELLAR)
Continuing Fluent English Proficient – Year 1 (Continuing FEP)
Continuing Fluent English Proficient – Year 2 (Continuing FEP)

6.4.9.1 NOTE: Item 6.4.9 Continuing FEP Year 1 or 2 is pending state legislation (3/21/2006)

(Example of what the SDELL73 may look like)

Arizona	Department of Education	1	Page: 1
Language /	Assessment Totals Repor	rt	As of Date: 03/21/2006 09:00:00
Passed Integrity	Failed Integrity	Integrity Not Run	Total
25	2	-	27
15	1	1	17
-	-	3	3
23	6	2	31
12	1	4	17
10	-	-	10
-	-	-	-
85	10	10	105
	Passed Integrity 25 15 - 23 12 10 -	Passed Integrity Failed Integrity 25 2 15 1 23 6 12 1 10 -	25 2 - 15 1 1 - - 3 23 6 2 12 1 4 10 - - - - -

7. SPED Federal Primary Need Indicator

<u>Definition</u> - If the student is found eligible in one or more SPED disability category - the disability category that has the greatest adverse impact on the students' ability to access and progress through the general curriculum is identified as the student's Federal Primary Need. This information should be found in the current multidisciplinary evaluation team report.

Federal Primary Indicator will not be state funding related. It is specifically needed to provide federally required data submissions. The highest state weighted need will not necessarily be the Primary Need. The Federal Primary Indicator should be determined by the student's Individualized Education Program (IEP), which is not captured in SAIS.

The collection of SPED Service Participation data is mandated per Federal regulations (34 CFR 300.641).

7.1. Transactions

- 7.1.1 A new data element called "Federal Primary Indicator" shall be added at the end of the 014 SPED Service Participation transaction.
- 7.1.2 The FY 2007 SPED Service Participation 014 transaction format, with the additional data field, Federal Primary Indicator, shall be allowed to be submitted in fiscal years prior to FY 2007.
 - 7.1.2.1 SAIS shall ignore the Federal Primary Indicator field in SPED 014 transactions with a FY 2007 format submitted in fiscal years <= FY 2006.
- 7.1.3 The Federal Primary Indicator shall have a data type of logical Boolean (L) and must be must be zero (no, false, off) or one (yes, true, on).
- 7.1.4 The Federal Primary Indicator shall be required for 014 SPED Service Participation transaction 'add' and 'change' operations.
 - 7.1.4.1 <u>Import validation</u> shall include missing required element check for both 'add' and 'change' operations.

SD-TX014-SPD-001.3 **ERROR: Message** -23xxx:

Missing required element: Federal Primary Indicator

7.1.5 The Federal Primary Indicator shall be a changeable element.

field name	abbreviated name	length	type	description
Transaction ID	TRANSID	3	I	ID value = 014
Vendor Defined Field	VENDORFLD	50	S	Free field to be used for any purpose defined by the vendor or submitter (e.g., to hold a vendor-generated submission record identifier); this information will not be stored in SAIS
Operation Code	OPRCD	1	S	A = Add new SPED Service and, possibly, Need C = Change Special Education Service information D = Delete a SPED Service Participation
Entity ID	ENTITYID	9	S	School identifier; CTDS code
School Student ID	SCHLSTUID	12	S	School-generated student identifier
Student ID	STUDENTID	10	I	ADE-generated student identifier
Track Number	TRACK	4	I	For schools or districts with multiple tracks, identifies the track to which this enrollment applies. (If the school does not have its own calendar, it will use the district's calendar.)
First Name on Legal Document	FIRSTNM	30	S	Student first name as it appears on the legal document provided for registration

field name	abbreviated name	length	type	description
Middle Name on Legal Document	MIDDLENM	30	s	Student middle name as it appears on the legal document provided for registration
Last Name on Legal Document	LASTNM	40	s	Student last name as it appears on the legal document provided for registration
Need Code	NEEDCD	5	С	Category of special education Need
				See Transaction Requirements: Code Values – Need
empty field		2	С	This element is no longer collected. Properly formatted values (length and data type) in these fields will be ignored. For SDF files, no characters in the field is preferred. For XML files, no submittal of the element is preferred.
Need Entry Date	NEEDENTRD T	10	D	The date the student entered the state of having this Need. Note: This element will be used by SAIS only to determine the fiscal year in which the student receives services for this Need.
empty field		10	D	This element is no longer collected. Properly formatted values (length and data type) in these fields will be ignored. For SDF files, no characters in the field is preferred. For XML files, no submittal of the element is preferred.
SPED Service Code	SPEDPROGS	2	С	Type of special education service
	VCCD			See Transaction Requirements: Code Values – Special Education Service
SPED Service Entry Date	SPEDENTRD T	10	D	The date the student entered the program/service
SPED Service Exit Date	SPEDEXITDT	10	D	The date the student exited the program/service
SPED Exit Reason Code	SPEDEXRSN	2	С	Reason for exiting the SPED service
	CD			See Transaction Requirements: Code Values – Special Education Service Exit Reason
SPED Grade	SPEDGRADE	3	С	The student's grade placement. (The SPED grade should be the same as the grade for the student's membership transaction.)
				See Transaction Requirements: Code Values – Grade
Funded SPED Service DOR	SPEDDORID	9	s	The Funded school district in which student resides or to which student's residence is assigned for this SPED Service; CTDS code
empty field: formerly SPED Neighborhood School Indicator: element no longer collected.		1	L	This element is no longer collected. Properly formatted values (length and data type) in these fields will be ignored. For SDF files, no characters in the field is preferred. For XML files, no submittal of the element is preferred.

field name	abbreviated name	length	type	description
SPED Special Enrollment Code	SPEDSPECE NR	2	С	Special dispensation (e.g., CEC-B) granted for a single enrollment (or program/service) allowing the student to attend this school, which is outside his normal district of residence See Code Values – Special Enrollment
SPED Federal Primary Indicator	TBD	1	L	Indicates if the SPED Need being serviced is the Primary Federal Need. If the student is found eligible in one or more disability category, it means the disability category that has the greatest adverse impact on the students' ability to access and progress through the general curriculum. This information should be found in the current multidisciplinary evaluation team report.

Changing Elements

See the section **How to Change Specific SAIS Elements** at the end of this document for instructions on which transaction should be used to change particular data elements.

The following elements may be changed using this transaction with a "change" operation.

- SPED Exit Date
- SPED Exit Reason Code
- SPED Special Enrollment Code
- SPED Grade
- SPED DOR
- SPED Federal Primary Indicator

NOTE: The "change" operation does not work for SPED Grade changes between PS and non-PS grades. For grade changes involving PS, the SPED service for the earlier grade must actually be exited and the SPED service for the later grade added new. The reason for this is that SPED services for preschool and non-preschool, while using the same set of letter abbreviations, are actually completely separate and different sets of services, having different rules and eligibility requirements.

Element Optionality

This table defines whether each element is optional or required depending upon Operation Code.

- R: the element is required
- X: any value in the incoming element will be ignored

{blank}: the element is optional; a valid value will be captured in SAIS

Table 5 SPED Service Participation Transaction Element Optionality

element	add	change	delete
Transaction ID	R	R	R
Vendor Defined Field	Χ	Х	Х
Operation Code	R	R	R
Entity ID	R	R	R
School Student ID	Х	Х	Х
Student ID	R	R	R
Track Number	R	R	R
First Name on legal document	Х	Х	Х
Middle Name on legal document	Х	Х	Х
Last Name on legal document	Х	Х	Х
Need Code	R	R	R, 2
empty field	Χ	X	X
Need Entry Date	R	R	R, 2
empty field	Χ	X	X
SPED Service Code	R	R	R
SPED Service Entry Date	R	R	R
SPED Service Exit Date		1	Х
SPED Exit Reason Code	3	1,3	Х
SPED Grade	R	R	R
Funded SPED Service DOR	R	R	R
element no longer collected: SPED Neighborhood School Indicator	Х	Х	Х
SPED Special Enrollment Code		1	
SPED Federal Primary Indicator	R	R	X

- 1 A valid "change" operation might contain NONE of these optional fields because the intent of the "change" could be to eliminate existing exits or to remove a Special Enrollment Code from the SAIS database.
- 2 On a "delete" operation, the need will ONLY be deleted if there are no other programs or services associated with it on the SAIS database.
- 3. SPED Exit Reason Code is required when a SPED Exit reason Date is submitted.

7.2. Integrity (Federal SPED only)

- 7.2.1 The following <u>Federal Integrity validations</u> shall be performed only from 12/1 of the fiscal year and forward.
 - 7.2.1.1 Each student with a SPED Service Participation shall be required to have one and only one Federal Primary Indicator on 12/1 of the fiscal year.

7.2.1.1.1 If a student has no Federal Primary Indicator on 12/1, but does have a SPED participation on 12/1, fail Federal SPED Integrity.

SC-INT-SPD-001.19.1
Federal SPED

ERROR Message -44xxx
Student participating in SPED service(s) must have one Federal Primary Indicator; {}

7.2.1.1.2 If a student has more than one Federal Primary Indicator on 12/1, fail Federal SPED Integrity.

SC-INT-SPD-001.19.2 Federal SPED ERROR Message -44xxx

Student participating in SPED service(s) must have only one Federal Primary Indicator; {return the existing SPED Need with Federal Primary Indicator}

7.3. Aggregation

n/a

7.4. Reports

7.4.1 The Federal Primary Indicator will be disseminated on the SPED 71 and the SPED 07 reports. Specs TBD.

8. SPED Service Participation Codes – UPDATE: no changes in FY 2007

8.1. Transactions

UPDATE: SPED Service Participation Codes will NOT change for FY 2007.

The decision has been made to <u>SPED Service Codes the same in FY 2007 as they were for FY 2006</u>. It is intended that there will be changes for FY 2008. This decision was made due to states still waiting for final approval regarding the new IDEA '04 regulations and data collection forms.

SPED Service codes will be <u>tentatively</u> changing for FY 2008 to comply with Federal regulations (34 CFR 300.124). Also reference requirements under the IDEA for the Arizona State Performance Plan (SPP) P.L. 108-446 Section 618 (a)(1)(A)(ii), 618 (a)(1)(A)(iii) and 618 (a)(3).

Specific details for LEAs will be disseminated through the Exceptional Student Services (ESS) department of ADE. ESS will be holding training sessions in the beginning of FY 2008 regarding these changes.

Tentative changes as described will be tentatively made for FY 2008 forward, pending Federal specifications.

Serv	Service Codes for Preschool						
FY 2006	FY 2007	FY 2008 (tentative)					
A, R	A, R	PA					
В	В	PB					
С	С	PC					
		PD					
D, E	D, E	PE					
F, G, V	F, G, V	PG					
Н	Н	PH					
J	J	PJ					
S	S	PS					

Service Codes for School Age						
FY 2006	FY 2007	FY 2008 (tentative)				
A, I, S	A, I, S	А				
В	В	В				
С	С	С				
D, E	D, E	D				
F, G, V	F, G, V	Е				
"	=	EA				
"	=	EB				
"	=	EC				
F, S	F, S	FA				
F	F	FB				
F	F	FC				
Н	H	Н				
J	J	J				

Additionally, these SPED Service Code changes, <u>tentatively</u> scheduled for FY 2008, will have a ripple effect at that time on SPED Integrity, the SPED Data Push, and SPED Reports (both in Student Details and Student Counts/SPED finance reports).

SPED Exit Reason Code – required when SPED Exit Date is entered

Per the Exceptional Student Services division of ADE, SAIS must collect SPED Exit Reason codes in the case of an exit date being submitted for federal reporting purposes.

Reference requirements under the IDEA for the Arizona State Performance Plan P.L. 108-446 Section 618 (a)(1)(A)(iv) and 618 (a)(3).

9.1. Transactions

9.1.1 <u>Import validation</u> - Require a SPED Exit Reason code to be submitted in a 014 SPED 'Add' transaction if an exit date is submitted.

SD-TX014-SPD-002.3A.7

ERROR message –23032

If either SPED Exit Date or SPED Exit Reason Code is submitted, then both must be submitted.

9.1.2 <u>Import validation</u> - Require a SPED Exit Reason code to be submitted in a 014 SPED 'Change' transaction if an exit date is submitted.

SD-TX014-SPD-002.3C.4

ERROR message -23032

If either SPED Exit Date or SPED Exit Reason Code is submitted, then both must be submitted.

Element Optionality

This table defines whether each element is optional or required depending upon Operation Code.

R: the element is required

X: any value in the incoming element will be ignored

{blank}: the element is optional; a valid value will be captured in SAIS

Table 5 SPED Service Participation Transaction Element Optionality

element	add	change	delete
Transaction ID	R	R	R
Vendor Defined Field	Х	Х	Х
Operation Code	R	R	R
Entity ID	R	R	R
School Student ID	Х	Х	Х
Student ID	R	R	R
Track Number	R	R	R
First Name on legal document	Х	Х	Х
Middle Name on legal document	Χ	Χ	Χ
Last Name on legal document	Χ	Χ	Χ
Need Code	R	R	R, 2
empty field	X	X	X
Need Entry Date	R	R	R, 2
empty field	X	X	X
SPED Service Code	R	R	R
SPED Service Entry Date	R	R	R
SPED Service Exit Date		1	Х
SPED Exit Reason Code	3	1,3	X
SPED Grade	R	R	R

element	add	change	delete
Funded SPED Service DOR	R	R	R
element no longer collected: SPED Neighborhood School Indicator	Х	X	х
SPED Special Enrollment Code		1	
SPED Federal Primary Category	R	R	Х

- 1 A valid "change" operation might contain NONE of these optional fields because the intent of the "change" could be to eliminate existing exits or to remove a Special Enrollment Code from the SAIS database.
- 2 On a "delete" operation, the need will ONLY be deleted if there are no other programs or services associated with it on the SAIS database.
- 3. SPED Exit Reason Code is required when a SPED Exit reason Date is submitted.
- 9.1.3 A new SPED Exit Reason code value will be needed. This is defined by when a SPED exit date is necessary that cannot fit into existing SPED Exit reason codes. This new code is used to handle a scenario in which the student is changing a SAIS data element within the 014 transaction, such as Need, funded SPED DOR, SPED grade, Federal Primary Indicator, or SPED Service Participation code. This new code will then allow the transaction to pass import validation (SPED Exit Reason is now a required field if exit date is submitted).
 - 9.1.3.1 The new SPED Exit Reason code shall be documented in the to-be-created FY07 'Data *Transaction Codes Value*' document and shall appear as code 9.

Code in trans-action	Code in SAIS data- base ¹	Short Description	Description	Valid for pre- school	Valid for stated ages	Effective Dates ²
9	TBD	Exited due to intended change in SAIS data element(s) only	This code is used when the student is ends one SPED service participation and starts another. Student is not transferring to regular education.	yes	all valid ages	FY 2007 to present

9.2. Integrity

- 9.2.1 Require a school level <u>State and Federal SPED Integrity</u> validation to make sure a future need/service is entered.
 - 9.2.1.1 SAIS shall validate if any SPED Service Participation is has a start date > the latest exit date of SPED Service Participation with a SPED Exit Reason Code = 9 (Exited due to intended change in Need/Service Code), else fail the Integrity validation:

SC-INT-SPD-xxx.xx.x State SPED failure Federal SPED failure ERROR message -44xxx Student must have a SPED Service Participation with a start date > the latest exit date of a SPED with an Exit Reason Code = 9; {Return SPED exit date; Return SPED Exit Reason Code}

9.3. Aggregation

n/a

9.4. Reports

9.4.1 SAIS shall capture the new SPED Exit Reason code 9 in the SPED 71 report.

SPED Exit Reason – 'Withdrawn by Parent Request' for PS, KG, and UE

Per the Early Childhood division of ADE, SAIS shall allow a SPED Exit Reason code of 'Withdrawn by Parent Request' for SPED grades PS, KG, and UE.

10.1. Transactions

- 10.1.1 The new Withdrawn by Parent Request SPED exit reason code shall be valid in SAIS from FY 2007 and forward.
- 10.1.2 The new SPED Exit Reason code shall be documented in the to-be-created FY07 'Data *Transaction Codes Value*' document and shall appear as code 10.

Code in trans-action	Code in SAIS data- base ¹	Short Description	Description	Valid for pre-school	Valid for stated ages	Effective Dates ²
10	TBD	Withdrawn by Parent Request	This code is used when a parent wants to withdraw a student with a SPED grade of PS, KG, or UE from the student's SPED Service Participation.	PS, KG, and UE SPED grades only	PS, KG, and UE SPED grades only	FY 2007 to present

10.1.3 <u>Import Validation</u>: If the student grade IS NOT SPED grade PS, KG, and UE and SPED Exit Reason Code is 10 (Withdrawn by Parent Request), fail the submitted transaction

SD-TX014-SPD-002.3A.7.1

ERROR message -@@: "SPED Exit Reason code 10 {Withdrawn by Parent Request} only valid for SPED grades PS, KG, and UE."

10.2. Integrity

n/a

10.3. Aggregation

n/a

10.4. Reports

10.4.1 SAIS shall capture the new SPED Exit Reason code 10 in the SPED 71 report.

11. Student Integrity Report by District

11.1. Transactions

n/a

11.2. Integrity

See Reports section for details.

11.3. Aggregation

n/a

11.4. Reports

- 11.4.1 The SAIS system shall give district users the capability to request a District Integrity Status Report from the Student Detail Download Screen.
- 11.4.2 The District Integrity Status Report shall be created in a text (CSV) or XML format. The format is designated by the report requestor.
- 11.4.3 The District Integrity Status Report shall create and print in ascending order, based on the following sort order:

11.4.3.1 <u>Integrity type</u>:

All
ADM – All
ADM – 40 Day
ADM – 100 Day
ADM – EOY
October Enrollment
Year End Enrollment
SPED
FED SPED
ELL
Support Programs

11.4.3.1.1 Retrieve Failures Only:

Yes No

11.4.4 <u>Student records in the District Integrity Status Report shall include the following data</u> elements:

Entity Id

Name (Entity)

CTDS

Report Type

StudentId

FirstName

LastName

SchoolStudentId

IntegrityTypeId

ReportingFrequency

ReportingPeriod

Status

TransactionRefld

ErrorCode

ErrorDescription

Severity

ErrorDtm

TransactionRefld2

AuditNumber

AuditDescription

TransactionRefld3

- 11.4.4.1 NOTE: All of the above student level Integrity data elements may not be present for each student in this report based on filters selected and data variables.
- 11.4.5 NOTE: It will be the responsibility of the district user to import the extract file into third-party software for viewing and managing.

12. Graduated Rules for 11th and 12th Grades

School Finance has determined to enforce existing rules regulating the submission of a status of 'Graduated' to grades 11 and 12 only. SAIS will be revised to implement this Integrity rule that starting FY07.

12.1. Transaction

12.1.1 If the student's <u>Year End Status Code</u> = 'G' and the Student's Grade is not equal to 11 or 12 then: Report the discrepancy as:

ERROR: system message -@@

Only grades 11 and 12 are allowed to be submitted with a Year End Status of G (Graduated at Year End). Submit either a Year End status of P (Promoted) or R (Retained) for grades PS to 10, including UE.

<u>Solution</u>: In order for a student with a grade below 11th grade to be submitted with a Graduated Year End status, the student will need to be promoted to 11th or 12th grade in order to graduate.

12.1.2 If the student's Grade is in PS to 10, including UE and the Year End Status Code is neither 'P' (Promoted) nor 'R' (Retained) then: Report the discrepancy as:

ERROR: system message -@@

Invalid Year End Status for grade - Submit either a Year End status of P (Promoted) or R (Retained) for grades PS to 10, including UE.

12.1.3 If the student's Withdrawal Activity Code = 'W7' and the Student's Grade is neither 11 nor 12 then: Report the discrepancy as:

ERROR: system message -@@

Only grades 11 and 12 are allowed to be submitted with a Withdrawal Activity Code of W7 (Graduated).

12.1.4 If the student's Summer Withdrawal Activity Code = 'S7' and the Student's Grade is not equal to 11 or 12 then: Report the discrepancy as:

ERROR: system message -@@

Only grades 11 and 12 are allowed to be submitted with a Summer Withdrawal Activity Code of S7 (Summer Early Graduation).

12.2. Integrity

- 12.2.1 Only grades 11 and 12 are allowed to be reported with a <u>Year End status of Graduated 'G'</u>.
 - 12.2.1.1 A student's Year End Integrity shall fail, starting in FY 2007, if the student in grades PS 10, including UE, was submitted with a Year End status of 'G'.

Integrity failure: ADM EOY

Year End Status

ERROR: Message -@@ Student can only have a Year End Status of 'G' (Graduated at year end) if student is either in grade 11 or 12.

<u>Solution</u>: In order for a student with a grade below 11th grade to be submitted with a Graduated Year End status, the student will need to be promoted to 11th or 12th grade in order to graduate.

12.2.1.2 Starting in FY 2007, students in grades PS – 10, including UE, can only have Year End status of P (Promoted) or R (Retained).

Integrity failure: ADM EOY Year End Status

ERROR: Message -@@ Student can only have a Year End Status of P (Promoted) or R (Retained) in grades PS – 10, including UE.

- 12.2.2 Only grades 11 and 12 are allowed to be reported of a Withdrawal Activity Code of Graduated 'W7'.
 - 12.2.2.1 A student's Year End Integrity shall fail, starting in FY2007, if the student in grades PS 10, including UE, was submitted with a Withdrawal Activity Codes of W7.

```
Integrity failure: If date =< 40^{th}: ADM 40^{th}, ADM 100^{th}, ADM 200^{th}, ADM EOY. If date > 40^{th} AND =< 100^{th}: ADM 100^{th}, ADM 200^{th}, ADM EOY. If date > 100^{th}: ADM 200^{th}, ADM EOY Year End Status
```

ERROR: Message -@@ Student can only have Withdrawal Activity code of W7 (Graduated) if student is in either grade 11 or 12.

12.3. Aggregation

12.4. Reports

13. Absence on 1st Day of School (per calendar track)

The School Finance Advisory Committee recommended a new policy regulating the administration of students who are enrolled, but are not physically present in the classroom on the first day that instruction is offered. The ADE approved the committee's recommendation. SAIS will therefore be amended to accommodate the approval of absence on the 1st day of school as defined in the LEA calendar.

A few of the items considered when changing the policy to allow 1st day absences include A.R.S. §15-901 (A)(2), A.R.S. §15-901 (A)(10), and A.R.S. §15-901(F).

13.1. Transactions

- 13.1.1. From FY 2007 forward, SAIS shall allow reporting of full-day absence on the first day of the calendar track to which the student is assigned.
 - 13.1.1.1. This will apply only to a student if his enrollment date is equal to the first day of the calendar track to which the student is assigned.
 - 13.1.1.2. This will apply only to a student's first enrollment in the same school per fiscal year.

13.2. Integrity (ADM)

13.2.1 Full-day absence on the first day of readmission is not allowed.

```
Integrity failure: If date =< 40^{th}: ADM 40^{th}, ADM 100^{th}, ADM 200^{th}, ADM EOY. If date > 40^{th} AND =< 100^{th}: ADM 100^{th}, ADM 200^{th}, ADM EOY. If date > 100^{th}: ADM 200^{th}, ADM EOY
```

ERROR: Message -44103

Student may not have a full day absence on the first day of membership unless the absence falls on the first day of school as defined in the calendar track to which the student is assigned.

13.2.2 Full-day absence on the first day of a mid-year track change (EK) is not allowed.

```
Integrity failure:

If date =< 40<sup>th</sup>: ADM 40<sup>th</sup>, ADM 100<sup>th</sup>, ADM 200<sup>th</sup>, ADM EOY.

If date > 40<sup>th</sup> AND =< 100<sup>th</sup>: ADM 100<sup>th</sup>, ADM 200<sup>th</sup>, ADM EOY.

If date > 100<sup>th</sup>: ADM 200<sup>th</sup>, ADM EOY

ERROR: Message -@@

Student may not have a full-day absence on the first day of membership of a mid-year track change.
```

13.2.3 A student may not have a record of enrollment on the first day of a calendar track and a period of ten or more consecutive absences (excused or unexcused) starting on the date of enrollment. Note: A student must participate in (attend) school at some point within the first ten days of the calendar track to which the he/she is assigned regardless of the length of period of enrollment.

```
Integrity failure: If date =< 40^{th}: ADM 40^{th}, ADM 100^{th}, ADM 200^{th}, ADM EOY. If date > 40^{th} AND =< 100^{th}: ADM 100^{th}, ADM 200^{th}, ADM EOY. If date > 100^{th}: ADM 200^{th}, ADM EOY.
```

ERROR: Message -@@

Student has not participated in instruction during the first ten days of the calendar track to which he/she is assigned. Student's enrollment must be deleted.

13.2.4 If attendance is being reported, SAIS will not allow a gap of 10 days of missing attendance from the start date of enrollment and if enrollment date is equal to the 1st day of the calendar track the student is assigned. An enrollment with a start date equal to the first day of attendance should then be submitted.

```
Integrity failure: If date =< 40^{th}: ADM 40^{th}, ADM 100^{th}, ADM 200^{th}, ADM EOY. If date > 40^{th} AND =< 100^{th}: ADM 100^{th}, ADM 200^{th}, ADM EOY. If date > 100^{th}: ADM 200^{th}, ADM EOY.
```

ERROR: Message -@@

Student has not participated in instruction during the first ten days of the calendar track to which he/she is assigned. Student's enrollment must be deleted.

13.3. Aggregation

13.4. Reports

14. Kindergarten Reporting

Information on pending legislation affecting Kindergarten reporting may be found in House Bill # 2678 of the 47th Legislature, Second Session 2006 – Proposed Education Legislation. http://www.azleg.state.az.us/

14.1. Transactions

- 14.1.1 From FY 2007 forward, SAIS shall require actual membership FTE be submitted for a Kindergarten student.
 - 14.1.1.1 A KG student from these schools may choose either half-day or full-day kindergarten instruction. The FTE reported for these students must be according to the actual instruction they're receiving.

14.2. Integrity

14.2.1 Apportionment of ADM funding will be based on the FTE submitted and eligibility requirements for KG programs.

A KG student must be 5 years old by Jan 1st to receive ADM funding

```
Integrity failure:
```

```
If date =< 40<sup>th</sup>: ADM 40<sup>th</sup>, ADM 100<sup>th</sup>, ADM 200<sup>th</sup>, ADM EOY.

If date > 40<sup>th</sup> AND =< 100<sup>th</sup>: ADM 100<sup>th</sup>, ADM 200<sup>th</sup>, ADM EOY.

If date > 100<sup>th</sup>: ADM 200<sup>th</sup>, ADM EOY.

ERROR: Message -43503 Student must be at least 5 years old by January 1st to generate funding for kindergarten
```

14.2.2 A KG student who is receiving full-day instruction but is not five years of age before September 1st will be funded to a maximum of 0.5 FTE.

Integrity failure:

If date = $<40^{th}$: ADM 40^{th} , ADM 100^{th} , ADM 200^{th} , ADM EOY. If date $>40^{th}$ AND = $<100^{th}$: ADM 100^{th} , ADM 200^{th} , ADM EOY. If date $>100^{th}$: ADM 200^{th} , ADM EOY.

WARNING: Message -@@ Student must be 5 years old before September 1st to generate full state funding for Full-Day Kindergarten program. Message is informational only.

14.2.3 If a KG student in a school that does not participate in FDK funding is reported with an FTE greater than 0.5, their ADM will be reduced to a maximum of 0.5 FTE

Integrity warning:

If date =< 40^{th} : ADM 40^{th} , ADM 100^{th} , ADM 200^{th} , ADM EOY. If date > 40^{th} AND =< 100^{th} : ADM 100^{th} , ADM 200^{th} , ADM EOY. If date > 100^{th} : ADM 200^{th} , ADM EOY.

WARNING: Message -@@ School must be approved for and participate in state approved Full-Day Kindergarten Program to qualify for full funding. FTE will be reduced to 0.5. Message is informational only.

- 14.2.4 Absence submitted must correspond to FTE reported.
 - 14.2.4.1 The absence amount must not be greater than the FTE amount.

Integrity failure:

If date =< 40^{th} : ADM 40^{th} , ADM 100^{th} , ADM 200^{th} , ADM EOY. If date > 40^{th} AND =< 100^{th} : ADM 100^{th} , ADM 200^{th} , ADM EOY. If date $> 100^{th}$: ADM 200th, ADM EOY. Error: Message -44104 Absence amount exceeds FTE amount.

14.3. Aggregation

- 14.3.1 SAIS will limit ADM according to FTE reported for KG. Logic is as follows:
 - 14.3.1.1 IF a school is APPROVED for FDK AND their KG are age 5 BEFORE Sept 1st **THEN**

IF FTE is 1.0 THEN ADM is calculated at FTE of 1.0

IF FTE is 0.5 THEN ADM is calculated at FTE of 0.5

IF FTE is 0.75 THEN ADM is calculated at FTE of 0.75

IF FTE is 0.25 THEN ADM is calculated at FTE of 0.25

If school is NOT APPROVED for FDK and the student is NOT age 5 BEFORE Sept. 1st, THEN:

IF FTE is 1.0 then ADM is calculated at FTE of 0.5 IF FTE is 0.5 then ADM is calculated at FTE of 0.5 IF FTE is 0.75 then ADM is calculated at FTE of 0.5 IF FTE is 0.25 then ADM is calculated at FTE of 0.25 14.3.1.2 There is a bill waiting to be signed by Legislature that could influence calculation presented at 14.3.1.1. <u>Until that bill is signed calculation will be as follows (including for those ELIGIBLE for FDK funding):</u>

IF FTE is 1.0 then ADM is calculated at FTE of 0.5 IF FTE is 0.5 then ADM is calculated at FTE of 0.5 IF FTE is 0.75 then ADM is calculated at FTE of 0.5 IF FTE is 0.25 then ADM is calculated at FTE of 0.25

14.4. Reports

- 14.4.1 On the SDADMS75 report for Grade KG:
 - 14.4.1.1 SAIS shall show an indicator that the school is approved to offer Full-day Kindergarten Program.
 - 14.4.1.2 For each of the students who are not eligible for FDK funding, due to not having met age requirement, SAIS show an indicator that ADM was reduced to half.

14.5. Data Push and Student Counts Reporting

- 14.5.1 Calculated on Student Details will be pushed and shown on the Student Counts Reports AS IS. Halving of ADM will not be applied to Kindergarten (as well as Preschool per new FY07 requirement) anymore.
 - 14.5.1.1 Any Student Counts report for previous Fiscal Years will still show existing calculation for KG, wherein ADM shows halved.

15. Membership Data for Preschool Students

15.1. Transactions

In addition to Preschool SPED participants, SAIS shall require submission of all membership data for students participating in Early Childhood Programs (see section 16) from FY 2007 and forward. However, only Preschool SPED students will receive State Funding.

All Preschool membership data is accepted by SAIS, regardless of program participation. However, only Preschool students participating in SPED or Early Childhood programs are required to submit Preschool membership data.

Preschool FTE should continue to be reported as 1.0 FTE. For Preschool ADM purposes, SAIS will use minutes attended to track whether the student receives full funding or not (combined with a valid PS SPED participation).

16. Early Childhood Program Participation

From FY 2007 forward, SAIS will begin collecting Early Childhood Program Participation in conjunction with Early Childhood assessments (see section 17 for more specific details on Early Childhood assessments).

16.1. Transactions

16.1.1 This transaction is designed to capture the elements that are directly related to the Programs that Preschool students are participating in.

The following structure describes the elements in this transaction.

field name	abbreviated name		data type	description
Transaction ID	TRANSID	3	I	ID value = 023
Vendor Defined Field	VENDORFLD	50		Free field to be used for any purpose defined by the vendor or submitter (e.g., to hold a vendor-generated submission record identifier); this information will not be stored in SAIS, but will be sent back to the submitter with any system-generated error or warning message
Operation Code	OPRCD	1	S	 A = Add New Preschool Program Participation C = Change Existing Preschool Program Participation information D = Delete a Preschool Program Participation
Entity ID	ENTITYID	9		School identifier; CTDS code, where student is participating in the Preschool program
School Student ID	SCHLSTUID	12	S	School-generated student identifier
Student ID	STUDENTID	10	I	ADE-generated student identifier (SAIS ID)
Track Number	TRACK	4	I	For schools or districts with multiple tracks, identifies the track to which this membership applies. (If the school does not have its own calendar, it will use the district's calendar.)
First Name on Legal Document	FIRSTNM	30	s	Student first name as it appears on the legal document provided for registration
Middle Name on Legal Document	MIDDLENM	30	s	Student middle name as it appears on the legal document provided for registration
Last Name on Legal Document	LASTNM	40	s	Student last name as it appears on the legal document provided for registration
Preschool Program Type	PSPROGTYPE	2	С	Program in which student is participating See Code Values – Preschool Program Type
Program Entry Date	PGMENTRYDA TE	10	D	The date the student entered the program
Program Exit Date	PGMEXITDAT E			The date the student exited the program
Program Partner ID	PROGPARTID	9		School identifier; CTDS code, of district, charter or other agency reporting data on Entity's behalf, OR with which the Entity has a relationship
Family Income Indicator	FMLYINCIND	1	L	Used for students enrolled in the Title I Even Start or State Family Literacy Program to who whether the family is at or below the poverty level

field name	abbreviated name	length	data type	description
Living in Household Indicator	LIVHSHLDIND	2		Used for students enrolled in the Early Childhood Block Grant Program to determine parental employment status See Code Values - Preschool Early Childhood Block Grant - Living in Household Indicator
Mother Employment Status	NEWSPECEN REND	1		Used in conjunction with Living in Household Indicator if living with Mother or Both
Father Employment Status	OLDTUITPAYR CD	1		Used in conjunction with Living in Household Indicator if living with Father or Both

Changing Elements

See the section **How to Change Specific SAIS Elements** at the end of this document for instructions on which transaction should be used to change particular data elements.

The following elements will be changed with a "change" operation.

- Preschool Exit Date
- Program Partner ID
- Family Income Indicator
- Living in Household Indicator
- Mother Employment Status
- Father Employment Status

Element Optionality

This table defines whether each element is required or optional.

Note: When conditions state that a change will be made on SAIS, any changeable field on the submitted transaction that does not contain a value — rather, it contains nulls or spaces — will replace the value on SAIS with nulls.

R: the element is required

X: any value in the submitted element will be ignored

{blank}: the element is optional; a valid value will be captured in SAIS

Preschool Program Participation Transaction Element Optionality

element	add	change	delete
Transaction ID	R	R	R
Vendor Defined Field	Х	Х	Х
Operation Code	R	R	R
Entity ID	R	R	R
School Student ID	Х	Χ	Χ
Student ID	R	R	R
Track Number	R	R	R
First Name on Legal Document	Х	Х	Χ
Middle Name on Legal Document	Х	Х	Х
Last Name on Legal Document	Χ	Χ	Χ
Preschool Program Type	R	R	R
Program Entry Date	R	R	R

element	add	change	delete
Program Exit Date		3	Х
Program Partner ID		3	Х
Family Income Indicator	4	3	Х
Living in Household Indicator	1	3	Х
Mother Employment Status	2	3	Х
Father Employment Status	2	3	Х

¹ If Preschool Program Type is Early Childhood Block Grant, then this field is required, otherwise it will be ignored.

16.1.2 If a Preschool student does not have a membership in SAIS, this transaction will not be accepted.

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ERROR: Message -@@:

Student must have a preschool membership in SAIS to participate in an Early Childhood Program.

Definitions

16.1.3 **School CTDS** (Required)

CTDS number of the school where the program is being provided.

All headstart/private schools providing PS services will have to apply to ADE for a CTDS number.

16.1.4 Program Type (Required)

This field would determine which PS programs a student is participating in, for example: PS SPED, Block Grant, EvenStart

Note: A PS Student may participate in multiple programs. A PS Program transaction will need to be submitted to SAIS for each program.

16.1.5 **Program Entry Date** (Required)

The date that the student enters the program defined in Program Type

16.1.6 **Program Exit Date** (Optional)

The date that the student is exited/withdrawn from the program defined in Program Type

16.1.7 **Family Income Indicator** (Required, only if PS Program Type is Even Start or Family Literacy) This will be a Y/N (1,0) indicator to show whether a family is at or below the poverty level (100%).

16.1.8 Living in Household Indicator (Required only if Program Type is Early Childhood Block Grant)

This indicator is a required field if the Program Type is Early Childhood Block Grant.

If required the values of this indicator can be:

- 16.1.8.1 Mother
- 16.1.8.2 Father
- 16.1.8.3 Both or
- 16.1.8.4 Not living with either parent

² If Living in Household Indicator is provided, these fields could be required dependant on the value of the indicator

³ At least one of these elements must be different on SAIS for this to be a valid change

⁴ If Preschool Program Type is Title I Even Start or State Family Literacy, then this field is required, otherwise it will be ignored

- If the indicator is marked as Mother, then the Mother Employment Status below becomes a required field and must be entered
- If the indicator is marked as Father, then the Father Employment Status below becomes a required field and must be entered
- If the indicator is marked as Both, then both the Mother Employment Status and the Father Employment Status fields must be entered
- If the indicator is marked as Not living with either parent, then neither the Mother Employment Status nor Father Employment Status must be entered
- 16.1.9 Mother Employment Status (Optional, depending on Living in Household Indicator above)
 This is needed for Federal reporting for the Early Childhood Block Grant. This will be a Y/N (1,0) indicator.
- 16.1.10 <u>Father Employment Status (Optional, depending on Living in Household Indicator above)</u>
 This is needed for Federal reporting for the Early Childhood Block Grant. This will be a Y/N (1,0) indicator.

16.1.11 Program Partner ID (Optional)

CTDS number of the district, charter or other agency that is entering the data on behalf of the entity OR CTDS number of the district or charter that the entity shares a relationship. This field will be used in cases where:

- 16.1.11.1 A district, charter or other agency is designated to report data to SAIS on behalf of the entity, to ensure there is way to determine who submitted the data in SAIS, and for the submitting district, charter or other agency to be able to view the data.
- 16.1.11.2 When an entity has a relationship with a district or charter school, this field should be used to report the CTDS of that district or charter.

Preschool Programs

Code in trans- action	Code in SAIS data- base ¹	Short Description	Description	Effective Dates ²
1		Early Childhood Block Grant	Child enrolled in Early Childhood Block Grant preschool program and has met eligibility guidelines.	FY 2007 to present
2		State Family Literacy	State Family Literacy eligible child and family enrolled in a state funded Family Literacy program. Child receives services in a preschool setting in conjunction with family members receiving adult education.	FY 2007 to present

Code in trans- action	Code in SAIS data- base ¹	Short Description	Description	Effective Dates ²
3		Title I Even Start	Even Start eligible child and family enrolled in a federally funded Even Start program. Child receives services in a preschool setting in conjunction with family member(s) receiving adult education.	• FY 2007 to present
4		Migrant Education Even Start	Migrant eligible child and family enrolled in a family literacy program. Child receives services in a preschool setting in conjunction with family members receiving adult education.	FY 2007 to present

Preschool Early Childhood Block Grant - Living in Household Indicator

Code in trans- action	Code in SAIS data- base ¹	Short Description	Description	Effective Dates ²
1		Mother	student resides with mother	FY 2007 to present
2		Father	student resides with father	FY 2007 to present
3		Both	student resides with both mother and father	FY 2007 to present
4		Neither	student is not residing with either mother or father	FY 2007 to present

16.2. Integrity

n/a

16.3. Aggregation

. . .

16.4. Reports TBD

17. Early Childhood Preschool Assessments

From FY 2007 forward, SAIS will be collecting Early Childhood Assessments. Statutory references include:

The Arizona Department of Education (Department) will adopt one or a small number of appropriate, comprehensive, valid and reliable assessments for progress monitoring and for measuring outcome indicators in preschool children ages three years to kindergarten entry in any or all early childhood programs administered by the Department. Local educational agencies and their schools will select (if more than one assessment is adopted by the Department) and administer the assessment in order to monitor progress of children and to meet the requirements of the 2004 Individuals with Disabilities Education Improvement Act (IDEA), Part B, Section 619, or to provide accountability data for the State Early Childhood Block Grant (A.R.S. §15-1251) or to provide accountability data for the State Family Literacy Program (A.R.S. §15-191.01) and Title 1 Even Start Program (Title 1, Part B, Subpart 3). This adoption is in addition to the two assessments currently required for Title 1 Even Start Programs (Peabody Picture Vocabulary Test and Preschool Assessment of Literacy Skills – Pre-K).

17.1. Transactions

17.1.1 This transaction is designed to capture the elements that are directly related to the Assessment Preschool children are given.

The following structure describes the elements in this transaction.

field name	abbreviated name	length	data tvne	description
Transaction ID	TRANSID	3	ı	ID value = 024
Vendor Defined Field	VENDORFLD	50	S	Free field to be used for any purpose defined by the vendor or submitter (e.g., to hold a vendor-generated submission record identifier); this information will not be stored in SAIS, but will be sent back to the submitter with any system-generated error or warning message
Operation Code	OPRCD	1	S	 A = Add New Preschool Assessment C = Change Existing Preschool Assessment information D = Delete a Preschool Assessment
Entity ID	ENTITYID	9	S	School identifier; CTDS code, where Preschool Assessment was given
School Student ID	SCHLSTUID	12	S	School-generated student identifier
Student ID	STUDENTID	10	I	ADE-generated student identifier (SAIS ID)
Track Number	TRACK	4	I	For schools or districts with multiple tracks, identifies the track to which this membership applies. (If the school does not have its own calendar, it will use the district's calendar.)
First Name on Legal Document	FIRSTNM	30	s	Student first name as it appears on the legal document provided for registration

field name	abbreviated name	length	data tvne	description
Middle Name on Legal Document	MIDDLENM	30	s	Student middle name as it appears on the legal document provided for registration
Last Name on Legal Document	LASTNM	40	s	Student last name as it appears on the legal document provided for registration
Responsible Entity ID	RESPENTITYID	6	S	Responsible District or Charter Holder CTD Number
Preschool Assessment Type	PSASSTYPE	2	С	Assessment Type that student received See Code Values – Preschool Assessments
Assessment Date	ASSDATE	10	D	The date the student took the assessment
Test Score 1	TESTSCORE1	3	I	Score of Test Area
Test Score 2	TESTSCORE2	3	I	Score of Test Area
Test Score 3	TESTSCORE3	3	I	Score of Test Area
Test Score 4	TESTSCORE4	3	I	Score of Test Area
Test Score 5	TESTSCORE5	3	I	Score of Test Area
Test Score 6	TESTSCORE6	3	I	Score of Test Area
Test Score 7	TESTSCORE7	3	I	Score of Test Area
Test Score 8	TESTSCORE8	3	I	Score of Test Area
Test Score 9	TESTSCORE9	3	I	Score of Test Area
Test Score 10	TESTSCORE10	3	I	Score of Test Area
Test Score 11	TESTSCORE11	3	I	Score of Test Area
Test Score 12	TESTSCORE12	3	I	Score of Test Area

Changing Elements

See the section **How to Change Specific SAIS Elements** at the end of this document for instructions on which transaction should be used to change particular data elements.

The following elements will be changed with a "change" operation.

- Responsible Entity ID
- Test Score 1
- Test Score 2
- Test Score 3
- Test Score 4
- Test Score 5
- Test Score 6
- Test Score 7
- Test Score 8
- Test Score 9
- Test Score 10
- Test Score 11Test Score 12

Element Optionality

This table defines whether each element is required or optional.

Note: When conditions state that a change will be made on SAIS, any changeable field on the submitted transaction that does not contain a value — rather, it contains nulls or spaces — will replace the value on SAIS with nulls.

R: the element is required

X: any value in the submitted element will be ignored

{blank}: the element is optional; a valid value will be captured in SAIS

Preschool Assessment Transaction Element Optionality

element	add	change	delete
Transaction ID	R	R	R
Vendor Defined Field	Х	Χ	Χ
Operation Code	R	R	R
Entity ID	R	R	R
School Student ID	X	Χ	Χ
Student ID	R	R	R
Track Number	R	R	R
First Name on Legal Document	X	Χ	Χ
Middle Name on Legal Document	X	Χ	Χ
Last Name on Legal Document	X	Χ	Χ
Responsible Entity ID	R	2	
Preschool Assessment Type	R	R	R
Assessment Date	R	R	R
Test Score 1	R	2	Х
Test Score 2	1	2	Х
Test Score 3	1	2	Х
Test Score 4	1	2	Х
Test Score 5	1	2	Х
Test Score 6	1	2	Х
Test Score 7	1	2	Х
Test Score 8	1	2	Х
Test Score 9	1	2	Х
Test Score 10	1	2	Χ
Test Score 11	1	2	Χ
Test Score 12	1	2	Χ

1 The amount of Test Scores required will depend on the Assessment Type; this will be shown in the Preschool Assessment Type table in the Codes Value document 2 At least one of these elements must be different on SAIS for this to be a valid change

17.1.2 The Preschool Assessment Transaction will not be accepted unless the Preschool Student either has a Preschool Program Participation OR has a Preschool SPED Participation.

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ERROR: Message -@@:

Student must have a either an Early Childhood Program Participation or a Preschool SPED Participation

- 17.1.3 Assessments data would be related to the program data above.
- 17.1.4 All PS students should receive an assessment twice a year, the first one around September, when the student has been in school for a few weeks, and the second one shortly before the end of the year, to determine the student's progress.

Definitions

17.1.5 **School CTDS** (Required)

CTDS number of the school where the assessment is given.

17.1.6 **Residence CTD** (Required)

This is either the District or Charter Holder CTD number.

This could be different from the School CTDS number in cases where the student is assessed at an institution other than one of the District or Charters schools.

17.1.7 **Test Type** (Required)

This field would determine which PS Assessment test was administered, this would also relate to the Program Type the student is participating in.

17.1.8 **Test Date** (Required)

The date that the assessment is administered

17.1.9 **Test Scores** (Required)

- 17.1.9.1 There will be a minimum of 1 test score and a maximum of 10 test scores, depending on the amount of Test Areas within the Assessment Type given.
- 17.1.9.2 The amount of test areas will be stored in the Assessment Type Codes Values.
- 17.1.9.3 This value must be numeric.

Preschool Assessments

Note: The below details are currently under RFP and will be updated prior to FY 2007, so please use these as example values.

Code in trans- action	Code in SAIS data- base ¹	Short Description	Description	Preschool programs approved to administer this Assessment	Number of Test Areas	Effective Dates ²
1		Work Sampling	Ongoing progress monitoring instrument published by Pearson Early Learning	All	7	FY 2007 to present
2		Assessment Evaluation and Programming System (AEPS)	Ongoing progress monitoring instrument published by Brooks Publishing Co;	All	6	FY 2007 to present
3		Creative Curriculum	Ongoing progress monitoring instrument published by Teaching Strategies, Inc.	All	10	FY 2007 to present

Code in trans- action	Code in SAIS data- base ¹	Short Description	Description	Preschool programs approved to administer this Assessment	Number of Test Areas	Effective Dates ²
4		Battelle Development al Inventory-II (BDI-II)	Ongoing progress monitoring instrument published by Riverside Publishing.	All	5	FY 2007 to present
5		Brigance Inventory of Early Development II	Norm referenced assessment; also used for ongoing progress monitoring published by Curriculum Associates	All	11	FY 2007 to present
6		Child Observation Record (COR)	Ongoing progress monitoring instrument published by High/Scope	All	5	FY 2007 to present
7		Peabody Picture Vocabulary Test-III (PPVT-III)	Norm referenced assessment of receptive language skills published by American Guidance Service, Inc.	Az Family Literacy and Migrant Education Even Start	1	FY 2007 to present
8		Phonological Awareness Literacy Screening Pre-K (PALS Pre-K)	Norm referenced assessment of phonological awareness and emergent literacy published by University of Virginia	Az Family Literacy and Migrant Education Even Start	6	FY 2007 to present
9		Qualls Early Learning Inventory	Ongoing progress monitoring instrument published by Riverside Publishing	All	6	FY 2007 to present
10		Parent Education Profile (PEP)	Ongoing progress monitoring tool for parent education published by RMC Research Corp.	Az Family Literacy and Migrant Education Even Start	4	FY 2007 to present

17.2. Integrity n/a
17.3. Aggregation

17.4. Reports

TBD

18. SAIS Online (for FY 2007 modifications)

18.1. SAIS Online

17.1.1	SAIS online shall be modified to adhere to and support all new and modified FY 2007 transaction
	validations, code values, and applicable rules.